#### ANNEX B GOVERNMENT PROCUREMENT POLICY BOARD CONSOLIDATED PROCUREMENT MONITORING REPORT

POLOMOLOK WATER DISTRICT

Period Covered: CY 2022

	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGEPS	No. of Contract Award Posted at PhilGEPS	Total No. Of Contracts that incurred negative slippage	Total No. of contracts with amendments to order or variation orders	No. of Contracts Awarded within prescribed timeframes
Column 1 1. Public Bidding*	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Colume 9	Calumn 10	Column 11	Column 12	Column 13	Column 14
1.1. Goods	34,814,435.00						and had some a street					DISCHARGE PROPERTY	
1.2. Works	the second s	23	18	30,905,980.73	4	28	34	33	23	23	0	0	23
1.3. Consulting Services	5,144,430.50	5	3	5,058,654.76	2	6	4	3	5	5	0	0	5
Sub-Total	0.00	0	0	0.00	0	0	0	0	0	0	0	0	0
2. Alternative Modes	39,958,865.50	28	21	35,964,635.49	6	34	38	36	28	28	0	0	28
2.1.1 Shopping (52.1 a above 50K)				Electron Provident	和日本校生活的			E PARA AND	SALES STATES	A STATE OF STREET, STRE			E CONTRACTOR SOLO
	11,645,383.81	14	14	1,344,026.80					M BARANE INSIGAR	14		a second s	The Second States of the second
2.1.2 Shopping (52.1 b above 50K)	3,659,549.63	13	14	546,395.00		EN CONTRACTOR OF SHE	Sulface and the second	The second second	13	13	and the state of the second		
2.1.3 Other Shopping						and the state of the		Bas Stadio and Control of		0	Sale Chipman good Street and		
2.2.1 Direct Contracting (above 50K)	37,634,718.00	1	3	264,152.00		HERO MARCHINESSING	Service States	ENGINE STREET	Contact - French Contact - Contact	0		South and Protein Proteinstation	
2.2.2 Direct Contracting (50K or less)	14,400.00	0	20	566,625.84		CONTRACTOR OF STREET		Support states	PART AND	0			
2.3.1 Repeat Order (above 50K)	0.00	0	0	0.00		Mana Andreas and an and an and an	Contraction Different	STATISTICS IN CONTRACTOR		0		the second s	
2.3.2 Repeat Order (50K or less)	0.00	0	0	0.00		Dartie Annald County and				0		Residence Internet Conservation	
2.4. Limited Source Bidding	0.00	0	0	0.00	Seal and Property Seal	SCRIME MURRINGER & SOLET		and a subscription of the subscription of	0	0			
2.5.1 Negotiation (Common-Use Supplies)	997,037.00	2	2	1,008,794.60	NA A PROPERTY AND	and the stress stress stress			Think be don't show the part of the	A DESCRIPTION OF THE OWNER OF THE			
2.5.2 Negotiation (Recognized Government Printers)	0.00	0	0	0.00	ALL COMPANY STORE	International Constant		CARDE ST ALE HIS CARDS TAXABLE					
2.5.3 Negotiation (TFB 53.1)	0.00	0	0	0.00	And the state of the	Control Description of the second		Contract of the second s	0	0	and the second second		
2.5.4 Negotiation (SVP 53.9 above 50K)	6,873,395.00	26	21	4,432,583.85					60	26			
2.5.5 Other Negotiated Procurement (Others above 50K)	506,695.00	1	6	2,068,060.00					BU				
2.5.6 Other Negotiated Procurement (50K or less)	15,000.00	0	1	12.001.00	316- Y22 - 1					0			
Sub-Total	61,346,178.44	57	81	10,242,639,09		Martinia Excellence of Particle			73	1			
3. Foreign Funded Procurement**		distant and support and	A REAL PROPERTY.	CANADOR STATEMENT		Contraction of the second s	Constant of the second s		/3	53		And the second	
3.1. Publicly-Bid	0.00	0	0	0.00		0	0	0					
3.2. Alternative Modes	0.00	0	0	0.00		0	0	0		Sector Contraction of the			
Sub-Total	0.00	0	0	0.00		Distance design to provide the	U	U					
4. Others, specify:				0.00									
TOTAL	101,305,043.94	85	102	46,207,274,58		And an anternal distances of				Contraction of the second		Laston and the Long of	

\* Should include foreign-funded publicly-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

ADO

ENGR. CECIL D. MIRASOL

BAC - Chariperson

NGR. ANDRESITO ... DEGILLA

Head of the Procuring Endity (HOPE)

### AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE

Name of Agency: Name of Respondent:				Date:	17 January 2023
Name of Respo	ondent:	ENGR. CEU	CIL MIRASOL	Position:	BAC - Chairperson
Instruction: Put according to wh	a check (√) n at is asked. P	nark inside the box beside lease note that all questior	each condition/requirement met as pr ns must be answered completely.	ovided below and then	fill in the corresponding blanks
1. Do you have	an approved A	APP that includes all types	of procurement, given the following co	nditions? (5a)	
1	Agency pre	pares APP using the presc	ribed format		
1	Approved A please prov	PP is posted at the Procur ide link: https://polwatero	ing Entity's Website listrict.gov.ph/wp-content/uploads/202	2/12/2023-APP-Non-C	SE.pdf
1		of the approved APP to the ovide submission date:	e GPPB within the prescribed deadline submitted to GPPB - TSO through		)22
			mon-Use Supplies and Equipment (Af m the Procurement Service? (5b)	PP-CSE) and	
1	Agency pre	pares APP-CSE using pres	cribed format		
1	its Guideline	s for the Preparation of An	period prescribed by the Department nual Budget Execution Plans issued a submitted online and e-mailed to app@gp	nnually	
1	Proof of act	ual procurement of Commo	on-Use Supplies and Equipment from	DBM-PS	
3. In the conduct	t of procureme	ent activities using Repeat	Order, which of these conditions is/are	met? (2e)	
/	Original con	tract awarded through com	petitive bidding		
1	The goods u four (4) units		nust be quantifiable, divisible and cons	isting of at least	
7		e is the same or lower than us to the government after	n the original contract awarded through price verification	n competitive bidding w	hich is
/	The quantity	of each item in the origina	I contract should not exceed 25%		
7		ract, provided that there ha	n the contract effectivity date stated in is been a partial delivery, inspection ar		
4. In the conduct	t of procureme	ent activities using Limited \$	Source Bidding (LSB), which of these	conditions is/are met? (	2f)
1	Upon recom	mendation by the BAC, the	e HOPE issues a Certification resorting	g to LSB as the proper	modality
7	Preparation government		re-Selected Suppliers/Consultants by	the PE or an identified	relevant
7	Transmittal	of the Pre-Selected List by	the HOPE to the GPPB		
1		t opportunity at the PhilGE	owledgement letter of the list by the G PS website, agency website, if availab		pus
5. In giving your	prospective bi	dders sufficient period to p	repare their bids, which of these condi	tions is/are met? (3d)	
1	Bidding doc	uments are available at the	time of advertisement/posting at the F	PhilGEPS website or	

AGENCY PROCU	REMENT COMPL	IANCE AND P	ERFORMANCE	INDICATORS (	APCPI)
	C	UESTIONNA	IRE		

		QUESTIONNAIRE							
	Agency website;								
1	Supplemental bid bulletins are issued at le	ast seven (7) calendar days before bid opening;							
1	Minutes of pre-bid conference are readily a	available within five (5) days.							
	o you prepare proper and effective procurement documentation and technical specifications/requirements, given the following conditions? (3e)								
7		complete Purchase Requests, Terms of Reference, and other ics, functionality and/or performance requirements, as required mencement of the procurement activity							
/	No reference to brand names, except for it	tems/parts that are compatible with the existing fleet or equipment							
7	Bidding Documents and Requests for Prop Agency website, if applicable, and in consp	posal/Quotation are posted at the PhilGEPS website, picuous places							
7. In creating you	ur BAC and BAC Secretariat which of these	conditions is/are present?							
For BAC: (4a)									
1	Office Order creating the Bids and Awards please provide Office Order No.: OG	s Committee M -00-2022-01-01 dated January 3, 2022							
7	There are at least five (5) members of the please provide members and their respect	ive training dates:							
A F	Name/s ENGR. CECIL D. MIRASOL	Date of RA 9184-related training							
	ENGR. NICASIO B. ESPESOR	08-12 March 2021 08-12 March 2021							
	ANA SORITA S. ALOVERA	08-12 March 2021							
	MARLENE C. CAGATA	08-12 March 2021							
10000	ROSALITA T. DOHINA	08-12 March 2021							
F.		00-12 March 2021							
G									
/	Members of BAC meet qualifications								
1	Majority of the members of BAC are traine	ed on R.A. 9184							
For BAC Secr	retariat: (4b)								
7	act as BAC Secretariat	Committee Secretariat or designing Procurement Unit to M - 00-2022-01-04 dated January 10, 2022							
1	The Head of the BAC Secretariat meets the please provide name of BAC Sec Head								
/	Majority of the members of BAC Secretari please provide training date: 08-12	at are trained on R.A. 9184 March 2021							
	ducted any procurement activities on any of e mark at least one (1) then, answer the que								
1	Computer Monitors, Desktop / F Computers and Laptops	Paints and Varnishes							
		Food and Catering Services							
		Training Facilities / Hotels / Venues							

	AGENCY PF	ROCUE	REMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE				
1	Vehicles		QUESTIONNAIRE				
1	Fridges and Freezers	/	Toilets and Urinals				
7	Copiers	1	Textiles / Uniforms and Work Clothes				
Do you use gr	een technical specifications for the p	orocure	ement activity/ies of the non-CSE item/s?				
1	Yes		No				
9. In determining these conditions		ocurer	nent information easily accessible at no cost, which of				
1	Agency has a working website please provide link: http://polwat	erdist	ict.gov.ph				
1	Procurement information is up-to-d	late					
/	Information is easily accessible at r	no cos	t				
	with the preparation, posting and su onditions is/are met? (7b)	bmiss	ion of your agency's Procurement Monitoring Report,				
/	Agency prepares the PMRs						
7	/       PMRs are promptly submitted to the GPPB         please provide submission dates:       1st Sem -       September 30, 2022       2nd Sem -       13-Jan-2023						
7	PMRs are posted in the agency we please provide link: https://polwater		gov.ph/wp-content/uploads/2022/07/FY-2022-PMR-for-the-1st-Semester.pdf				
/	PMRs are prepared using the pres	cribed	format				
	f procurement activities to achieve d onditions is/are met? (8c)	esired	contract outcomes and objectives within the target/allotted timeframe,				
x	There is an established procedure	for nee	eds analysis and/or market research				
/	There is a system to monitor timely	delive	ery of goods, works, and consulting services				
/	Agency complies with the threshold if any, in competitively bid contracts		scribed for amendment to order, variation orders, and contract extensions,				
12. In evaluating	the performance of your procureme	nt pers	sonnel, which of these conditions is/are present? (10a)				
1	Personnel roles, duties and response commitment/s	sibilitie	s involving procurement are included in their individual performance				
7	Procuring entity communicates star	ndards	of evaluation to procurement personnel				
1	Procuring entity and procurement p	erson	nel acts on the results and takes corresponding action				
	following procurement personnel ha ree (3) years? (10b)	ive pa	rticipated in any procurement training and/or professionalization program				
	Date of	most	recent training: 08-12 March 2021				
	Head of Procuring Entity (HOPE)						

Bids and Awards Committee (BAC)

	AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)
	QUESTIONNAIRE
1	BAC Secretariat/ Procurement/ Supply Unit
1	BAC Technical Working Group
1	End-user Unit/s
	Other staff
14. Which of the procuring entity?	following is/are practised in order to ensure the private sector access to the procurement opportunities of the (10c)
/	Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective

/

bidders at least once a year

The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

	AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI) QUESTIONNAIRE
	g whether the BAC Secretariat has a system for keeping and maintaining procurement records, onditions is/are present? (11a)
7	There is a list of procurement related documents that are maintained for a period of at least five years
7	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
7	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel
	g whether the Implementing Units has a system for keeping and maintaining procurement records, onditions is/are present? (11b)
7	There is a list of contract management related documents that are maintained for a period of at least five years
1	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
1	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel
17. In determining of goods, works	g if the agency has defined procedures or standards for quality control, acceptance and inspection and services, which of these conditions is/are present? (12a)
1	Agency has written procedures for quality control, acceptance and inspection of goods, services and works
Have you proc	ured Infrastructure projects through any mode of procurement for the past year?
7	Yes No
If YES, please	se answer the following:
7	Supervision of civil works is carried out by qualified construction supervisors         Name of Civil Works Supervisor:         ENGR. JAMES E. ECUBE & ENGR. SHEILA DUMAGUING
7	Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)
	Name of CPES Evaluator: ENGR. JAMES E. ECUBE & ENGR. SHEILA DUMAGUING
18. How long will documents are co	it take for your agency to release the final payment to your supplier/service provider or contractor/consultant,once omplete? (12b) days
A. Eli B. Sh C. Pri D. Pri E. Bio	Observers for the following procurement activities, which of these conditions is/are met? (13a) gibility Checking (For Consulting Services Only) ortlisting (For Consulting Services Only) e-bid conference eliminary examination of bids d evaluation st-qualification
1	Observers are invited to attend stages of procurement as prescribed in the IRR
/	Observers are allowed access to and be provided documents, free of charge, as stated in the IRR
1	Observer reports, if any, are promptly acted upon by the procuring entity

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)	)
QUESTIONNAIRE	

20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

1	Creation of Internal Audit Unit (IAU) in the agency Agency Order/DBM Approval of IAU position/s:	Internal Control Officer A
1	Conduct of audit of procurement processes and transaction	ns by the IAU within the last three years
7	Internal audit recommendations on procurement-related m of the internal auditor's report	atters are implemented within 6 months of the submission
21. Are COA rec report? (14b)	ommendations responded to or implemented within six mor	nths of the submission of the auditors'
/	Yes (percentage of COA recommendations responded to69.23_%	or implemented within six months)
	No procurement related recommendations received	
	g whether the Procuring Entity has an efficient procurement ocedural requirements, which of conditions is/are present?	
1	The HOPE resolved Protests within seven (7) calendar day	ys per Section 55 of the IRR
1	The BAC resolved Requests for Reconsideration within se	ven (7) calendar days per Section 55 of the IRR
1	Procuring entity acts upon and adopts specific measures to referrals, subpoenas by the Omb, COA, GPPB or any qua	
23. In determining conditions is/are	g whether agency has a specific anti-corruption program/s r present? (16a)	elated to procurement, which of these
1	Agency has a specific office responsible for the implement	ation of good governance programs
/	Agency implements a specific good governance program i	ncluding anti-corruption and integrity development

Agency implements specific policies and procedures in place for detection and prevention of corruption

1



#### ANNEX C APCPI Revised Scoring and Rating System

o. Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
	0	1	2	3
LAR I. LEGISLATIVE AND REGULATORY FRAMEWORK				
licator 1. Competitive Bidding as Default Method of Procurement		1	1	1
Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Below 70.00%	Between 70.00-80.99%	Between 81.00-90.99%	Between 91.00-100%
2 Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Below 20.00%	Between 20.00- 39.99%	Between 40.00-50.00%	Above 50.00%
dicator 2. Limited Use of Alternative Methods of Procurement				
3 Percentage of shopping contracts in terms of amount of total procurement	Above 7.00%	Between 5.00-7.00 %	Between 3.00-4.99 %	Below 3.00%
4 Percentage of negotiated contracts in terms of amount of total procurement	Above 15.00%	Between 9.00 -15.00%	Between 4.00-8.99%	Below 4.00%
5 Percentage of direct contracting in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
6 Percentage of repeat order contracts in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
7 Compliance with Repeat Order procedures	Not Compliant			Compliant
8 Compliance with Limited Source Bidding procedures	Not Compliant			Compliant
dicator 3. Competitiveness of the Bidding Process				
9 Average number of entities who acquired bidding documents	Below 3.00	3.00-3.99	4.00-5.99	6.00 and above
0 Average number of bidders who submitted bids	Below 2.00	2.00-2.99	3.00-4.99	5.00 and above
1 Average number of bidders who passed eligibility stage	Below 1.00	1.00 - 1.99	2.00-2.99	3.00 and above
2 Sufficiency of period to prepare bids	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Use of proper and effective procurement documentation and technical specifications/requirements	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
ILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY				
dicator 4. Presence of Procurement Organizations				
14 Creation of Bids and Awards Committee(s)	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
15 Presence of a BAC Secretariat or Procurement Unit	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
dicator 5. Procurement Planning and Implementation				
16 An approved APP that includes all types of procurement	Not Compliant			Compliant
Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Existing Green Specifications for GPPB-identified non-CSE items are adopted	Not Compliant			Compliant
		1		
dicator 6. Use of Government Electronic Procurement System				
19 Percentage of bid opportunities posted by the PhilGEPS-registered Agency	Below 70.99%	Between 71.00-80.99%	Between 81.00-90.99%	Above 91.00%
20 Percentage of contract award information posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00- 50.99%	Between 51.00-80.00%	Above 80.00%
Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	Below 20.00%	Between 20.00 - 50.99%	Between 51.00-80.00%	Above 80.00%

o. Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
	0	1	2	3
dicator 7. System for Disseminating and Monitoring Procurement Information		1	T	
Presence of website that provides up-to-date procurement information easily accessible at no cost	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
ILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES ndicator 8. Efficiency of Procurement Processes				
Percentage of total amount of contracts signed within the assessment year				
24 against total amount in the approved APPs Percentage of total number of contracts signed against total number of	Below 40.00% or above 100.00%	Between 40.00- 60.99%	Between 61.00% -80.00%	Above 80.00%
25 procurement projects done through competitive bidding	Below 90.00%	Between 90.00- 92.99%	Between 93.00-95.00%	Above 95.00%
26 Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
ndicator 9. Compliance with Procurement Timeframes				
27 Percentage of contracts awarded within prescribed period of action to procure goods	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
Percentage of contracts awarded within prescribed period of action to procure infrastructure projects	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
29 Percentage of contracts awarded within prescribed period of action to procure consulting services	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
ndicator 10. Capacity Building for Government Personnel and Private Sector Particip	aute			
There is a system within the procuring entity to evaluate the performance of				
30 procurement personnel on a regular basis	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Percentage of participation of procurement staff in procurement training and/or professionalization program	Less than 60.00% Trained	Between 60.00-75.99% Trained	Between 76-90% of staff trained	Between 91.00-100% Trained
32 The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Not Compliant			Compliant
Indicator 11. Management of Procurement and Contract Management Records				
33 The BAC Secretariat has a system for keeping and maintaining procurement records	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
34 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 12. Contract Management Procedures				
Agency has defined procedures or standards in such areas as quality control,				
35 acceptance and inspection, supervision of works and evaluation of contractors' performance	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
36 Timely Payment of Procurement Contracts	After 45 days	Between 38-45 days	Between 31-37 days	On or before 30 days
PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM Indicator 13. Observer Participation in Public Bidding				
37 Observers are invited to attend stages of procurement as prescribed in the IRR	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 14. Internal and External Audit of Procurement Activities				
Creation and operation of Internal Audit On Procurement Activities Creation and operation of Internal Audit Unit (IAU) that performs specialized	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
procurement audits	Not compliant		Substantially Compliant	

No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
		0	1	2	3
39	Audit Reports on procurement related transactions	Below 60% compliance	Between 61-70.99% compliance	Between 71-89.99% compliance	Above 90-100% compliance
	cator 15. Capacity to Handle Procurement Related Complaints The Procuring Entity has an efficient procurement complaints system and has				
40	the capacity to comply with procedural requirements	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
India	cator 16. Anti-Corruption Programs Related to Procurement				
41	Agency has a specific anti-corruption program/s related to procurement	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant

POLOMOLOK WATER DISTRICT Date of Self Assessment: 27 January 2023

PILL		Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
India	AR I. LEGISLATIVE AND REGULATORY FRAMEWORK cator 1. Competitive Bidding as Default Method of Procureme	nt			
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	79.57%	1.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	21.00%	1.00		PMRs
India	ator 2. Limited Use of Alternative Methods of Procurement		and the second		
2.a	Percentage of shopping contracts in terms of amount of total procurement	4.18%	2.00		PMRs
2.b	Percentage of negotiated contracts in terms of amount of total procurement	14.41%	1.00		PMRs
2.c	Percentage of direct contracting in terms of amount of total procurement	1.84%	2.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
India	ator 3. Competitiveness of the Bidding Process				
3.a	Average number of entities who acquired bidding documents	1.21	0.00		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	1.36	0.00		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	1.29	1.00		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
PILLA	AR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME	Average I	1.55		
	ator 4. Presence of Procurement Organizations				
4.a	Creation of Bids and Awards Committee(s)	Fully Compliant	3.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
ndia	ator E. Drogurament Diagning and Implementation		A STATE AND AND		
	ator 5. Procurement Planning and Implementation				1
5.a	An approved APP that includes all types of procurement	Compliant	3.00		Copy of APP and its supplements (if any)
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity

POLOMOLOK WATER DISTRICT Date of Self Assessment: 27 January 2023

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
16.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	0.00%	0.00		Agency records and/or PhilGEPS records
	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	89.66%	3.00		Agency records and/or PhilGEPS records

POLOMOLOK WATER DISTRICT

Date of Self Assessment: 27 January 2023

information easily accessible at no cost     Compliant     Compliant     Compliant     Second       information easily accessible at no cost     Compliant     Second     Copy of PARA and received copy that it with the submitted to GPP8       information easily accessible at no cost     Average II     2.40     Copy of PARA and received copy that it with the submitted to GPP8       information easily accessible at no cost     Average II     2.40     Average II     Copy of PARA and received copy that it with the submitted to GPP8       is.a     Recentage of total amount of contracts signed against total anoust of contracts signed against total anoust of contracts signed against total anoust in the approved APPs     1.00     APP (including Supplemental amendment if any) and PMRs       is.a     assessment year against total amount in the approved APPs     75.00%     0.00     Agency Procedurers/Systems for the conduct of oneds analysis or market research, monitoring of timely delivery of pocurement projects done through competitive bidding     5.00%     0.00     Agency Procedurer/Systems for the conduct of orders analysis or market research, monitoring of timely delivery of pocurement and and analysis or contracts and delivery within the target/alloted     Fully     3.00     Compliant     Social anous of contracts average and and analysis or services       and and accessing a contracts awarded within prescribed period of n/a     0.00%     0.00     PMRs     Compliant       a.a     freendage of contracts awarded within prescribed period of n/a     n/a	No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
7.2       Prefacte of website and spect sump the code productment of compliant       3.00       performation of the code model subsite and spect website and spect of the code o	Indic	ator 7. System for Disseminating and Monitoring Procuremen	nt Information			
7.0       (CP) of PMR and received copy that it is submitted to GPPB, and compliant       3.00       (CP) of PMR and received copy that it is submitted to GPPB         7.0       (CP) of PMR and received copy that it is submitted to GPPB.       2.40         PILL # ILL PROCUREMENT OPERATIONS AND MARCET PRACTICES       2.40         PILL # ILL PROCUREMENT OPERATIONS AND MARCET PRACTICES       45.61%       1.00       APP (including Supplemental amendment if any) and PMRs         8.a       recentage of total amount of contracts signed against total anot in the approved APP.       45.61%       1.00       APP (including Supplemental amendment if any) and PMRs         8.b       number of procurement projects done through competitive bidding.       50.00%       0.00       Agency Procedure/Systems for the conduct of oneds analysis or market research, monitoring of timely delivery goods, write it is achieved desired contract.       Fully Compliant       3.00       goods, works, or services         8.e       outcomes and objectives within the target/alloted       Fully Compliant       3.00       goods, works, or services       Contracts with amendments and variatit to order amount to 10% or less         9.a       ferentage of contracts awarded within prescribed period of n/a       0.00%       0.00       PMRs         9.a       ferentage of participants       Samples of form used to evaluating procurement training conducted within prescribed period of n/a       n/a       n/a	7.a	information easily accessible at no cost		3.00		portion in the agency website and specific
PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES         Minicator E. Ifficiency of Procurement Processes         8.a       Percentage of total amount of contracts signed within the approved APP.         8.a.       Percentage of total amount of contracts signed applies total amount of contracts signed applies of total number of contracts signed applies total amount of contracts signed applies of total number of neorgenement activities achieved desired contract timeframes       75.00%       0.00       Applies of the conduct of needs analysis or market conduct of needs analysis or market timeframes         8.c       Planned procurement activities achieved desired contract timeframes       Fully Compliant       3.00       Research, monitoring of timely delvery conducts with amendments and variatie to order anount to 10% or less         Interest applies of contracts awarded within prescribed period of action to procure inforst such are delivered within prescribed period of n/a       n/a       n/a       PMRs         action to procure inforst such are delivered as analysis of the regular assis       Samples of forms used to evaluate the percentage of contracts awarded within prescribed period of n/a       n/a       n/a       PMRs         action to procure inforst such procurement tersonnel and Private Sector Partricipants       Samples of forms used	7.b	GPPB-prescribed format, submission to the GPPB, and		3.00		Copy of PMR and received copy that it was submitted to GPPB
PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES         Minicator E. Ifficiency of Procurement Processes         8.a       Percentage of total amount of contracts signed within the approved APP.         8.a.       Percentage of total amount of contracts signed applies total amount of contracts signed applies of total number of contracts signed applies total amount of contracts signed applies of total number of neorgenement activities achieved desired contract timeframes       75.00%       0.00       Applies of the conduct of needs analysis or market conduct of needs analysis or market timeframes         8.c       Planned procurement activities achieved desired contract timeframes       Fully Compliant       3.00       Research, monitoring of timely delvery conducts with amendments and variatie to order anount to 10% or less         Interest applies of contracts awarded within prescribed period of action to procure inforst such are delivered within prescribed period of n/a       n/a       n/a       PMRs         action to procure inforst such are delivered as analysis of the regular assis       Samples of forms used to evaluate the percentage of contracts awarded within prescribed period of n/a       n/a       n/a       PMRs         action to procure inforst such procurement tersonnel and Private Sector Partricipants       Samples of forms used			A	2.40		
Indicator 9. Efficiency of Procurement Processes       APP (including Supplemental amendment is assumed very agains total amount in the approved APPs       45.61%       1.00       APP (including Supplemental amendment if any) and PMRs         Image: Approximation of procurement projects done through competitive bidding       75.00%       0.00       APP (including Supplemental amendment if any) and PMRs         Image: Approximation of procurement projects done through competitive bidding       75.00%       0.00       APP (including Supplemental amendment if any) and PMRs         Image: Approximation of procurement projects done through competitive bidding       75.00%       0.00       Approximation of procurement projects analysis or market research, monitoring of timely delivery of goods, works, or services         Image: Application of procurement Timeframes       Fully       3.00       Contracts with amendments and variation or order amount to 10% or less         Image: Application to procure enositing services       0.00%       0.00       PMRs         Image: Application to procure enositing services       0.00%       0.00       PMRs         Image: Application to procure enositing services       0.00%       0.00       PMRs         Image: Application to procure enositing services       0.00%       0.00       PMRs         Image: Application to procure enositing services       Fully       3.00       Samples of forms used to evaluating procurement procure site services     <	PILLA		Average II	2.40		
8.4.3       assessment year against total amount in the approved APPs       45.6.1%       1.00       if any) and PMRs         8       percentage of total number of contracts signed against total       75.00%       0.00       APP(Including Supplemental amendment if any) and PMRs         8       number of procurement projects done through competitive of contracts signed against total       75.00%       0.00       APP(Including Supplemental amendment if any) and PMRs         8.c       Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted       Fully Compliant       3.00       gods, works, or services         9.a       Percentage of contracts awarded within prescribed period of nords awarded within prescribed period of n/a       0.00%       0.00       PMRs         9.a       Percentage of contracts awarded within prescribed period of n/a       0.00%       0.00       PMRs         9.c       Percentage of contracts awarded within prescribed period of n/a       0.00%       0.00       PMRs         9.c       Percentage of contracts awarded within prescribed period of n/a       0.00%       0.00       PMRs         10.a       There is a system within the procurement thersonnel and Private Sector Participants       Samples of forms used to evaluating procurement performance on top of or incorporated within prescribed period of nor a sub or any and procurement performance on top of or incorporated within the regular asessment personnel an						
8.b     number of procurement projects done through competitive     75.00%     0.00     PPP(Including supplemental amendment if any)and PMRs       8.c     Planned procurement activities achieved desired contract uncomes and objectives within the target/allotted     Fully Compliant     3.00     Agency Procedures/Systems for the conduct of needs analysis or market research, montoring of timely delivery of goods, works, or services       9.a     Parcentage of contracts awarded within prescribed period of action to procure goods     0.00%     0.00     PMRs       9.a     Percentage of contracts awarded within prescribed period of action to procure goods     0.00%     0.00     PMRs       9.a     Percentage of contracts awarded within prescribed period of action to procure goods     0.00%     0.00     PMRs       9.a     Percentage of contracts awarded within prescribed period of action to procure infrastructure projects     0.00%     0.00     PMRs       10.a     There is a system within the procuring protectibe period of procurement performance on top of or incorporated within prescribed period of action to procure consulting services     0.00%     0.00       10.a     There is a system within the procuring entity to evaluate the performance of procurement personnel and Private Sector Participants     Samples of forms used to evaluating procurement performance on top or incorporated within the regular assessment for Procurement personnel procurement training and/or professionalization program     0.00%     0.00     Ask for copies of documentation of activities for bidd	8.a		45.61%	1.00		APP (including Supplemental amendments if any) and PMRs
Banned procurement activities achieved desired contract.     Fully Compliant     3.00     Agency Procedures/Systems for the conduct of needs analysis or market research, montoring of timely delivery of goods, works, or services       Indicator 9. Compliance with Procurement Timeframes	8.b	number of procurement projects done through competitive	75.00%	0.00		APP(including Supplemental amendments, if any)and PMRs
Indicator 9. Compliance with Procurement Timeframes         9.a       Percentage of contracts awarded within prescribed period of action to procure regoods       0.00%       0.00       PMRs         9.a       Percentage of contracts awarded within prescribed period of action to procure infastructure projects       0.00%       0.00       PMRs         9.b       Percentage of contracts awarded within prescribed period of action to procure infastructure projects       0.00%       0.00       PMRs         9.c       Percentage of contracts awarded within prescribed period of action to procure infastructure projects       n/a       n/a       PMRs         Indicator 10. Capacity Building for Government Personnel and Private Sector Participants       Samples of forms used to evaluating procurement performance on top of or incorporated within the regular basis       Samples of forms used to evaluating procurement performance on top of or incorporated within the regular basis         10.a       There is a system within the procuring entity to evaluate the performance of procurement personnel and Private Sector       Samples of forms used to evaluating procurement training and/or professionalization program       0.00%       0.00       Ask for copies of Office Orders, training modules, list of participants, schedules o actual training conducted         10.b       Percentage of participation of procurement staff in procuring entity       0.00%       0.00       Ask for copies of documentation of activities for bidders         10.c.       The proc	8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted		3.00		conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations
9.a       Percentage of contracts awarded within prescribed period of action to procure goods       0.00%       0.00       PMRs         9.b       Percentage of contracts awarded within prescribed period of action to procure infrastructure projects       0.00%       0.00       PMRs         9.c       Percentage of contracts awarded within prescribed period of action to procure consulting services       n/a       n/a       PMRs         Indicator 10. Capacity Building for Government Personnel and Private Sector Participants       Samples of forms used to evaluating procurement performance on top of or incorporate duithin the procuring entity to evaluate the performance of procurement performance on top of or incorporate duithin the regular assessment for Procurement Personnel       Samples of Office Orders, training and/or professionalization program         10.a       Percentage of participants consulting entity to evaluate the procurement training and/or professionalization program       0.00%       0.00       Ask for copies of Office Orders, training and/or professionalization program         10.b       Percentage of participants consulting entity to evaluate the procurement training and/or professionalization program       Compliant       3.00       Ask for copies of Office Orders, training conducted         11.a       The procuring entity has open dialogue with private sector to portunities of the procurement opportunities of the procurement and Contract Management Records       Verify actual procurement records and time it took to retrieve record (schould be no more thant two hours)         <						to order amount to 10% or less
9.a       Percentage of contracts awarded within prescribed period of action to procure goods       0.00%       0.00       PMRs         9.b       Percentage of contracts awarded within prescribed period of action to procure infrastructure projects       0.00%       0.00       PMRs         9.c       Percentage of contracts awarded within prescribed period of action to procure consulting services       n/a       n/a       PMRs         Indicator 10. Capacity Building for Government Personnel and Private Sector Participants       Samples of forms used to evaluating procurement performance on top of or incorporate duithin the procuring entity to evaluate the performance of procurement performance on top of or incorporate duithin the regular assessment for Procurement Personnel       Samples of Office Orders, training and/or professionalization program         10.a       Percentage of participants consulting entity to evaluate the procurement training and/or professionalization program       0.00%       0.00       Ask for copies of Office Orders, training and/or professionalization program         10.b       Percentage of participants consulting entity to evaluate the procurement training and/or professionalization program       Compliant       3.00       Ask for copies of Office Orders, training conducted         11.a       The procuring entity has open dialogue with private sector to portunities of the procurement opportunities of the procurement and Contract Management Records       Verify actual procurement records and time it took to retrieve record (schould be no more thant two hours)         <	India	ator Q. Compliance with Dressment Timeframer				
9.4     action to procure goods     0.00%     0.00     PMRs       9.b     Percentage of contracts awarded within prescribed period of action to procure infrastructure projects     0.00%     0.00     PMRs       9.c     Percentage of contracts awarded within prescribed period of action to procure infrastructure projects     n/a     n/a     PMRs       10.a     Percentage of contracts awarded within prescribed period of action to procure consulting services     n/a     n/a     PMRs       10.a     There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis     Fully     3.00     Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel       10.a     There is a system within the procuring entity to evaluate the performance of procurement staff in procurement training and/or professionalization program     0.00%     0.00     Ask for copies of Office Orders, training modules, list of participants, schedules or actual training conducted       10.c     and ensures access to the procurement and Contract Management Records     Compliant     3.00     Ask for copies of document records and time it took to retrieve records (should to no more than two hours)       11.a     The BAC Secretariat has a system for keeping and maintaining procurement records     Fully Compliant     3.00     3.00     Verify actual procurement records and time it took to retrieve records (should to no more than two hours)       11						
9.0     action to procure infrastructure projects     0.00%     0.00     PMRS       9.c.     Percentage of contracts awarded within prescribed period of action to procure consulting services     n/a     n/a     PMRs       Indicator 10. Capacity Building for Government Personnel and Private Sector Participants     Samples of forms used to evaluating procurement performance on top of or incorporated within the procuring entity to evaluate the performance of procurement personnel on a regular basis     Fully Compliant     3.00     Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement personnel       10.a     Percentage of participation of procurement staff in procurement training and/or professionalization program     0.00%     0.00     Ask for copies of Office Orders, training modules, list of participants, schedules of activities for bidders       10.c.     The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity     Compliant     3.00     Ask for copies of documentation of activities for bidders       11.a.     The BAC Secretariat has a system for keeping and maintaining procurement records     Fully Compliant     3.00     3.00     Verify actual procurement records and time it took to retrieve records (should to no more than two hours)       11.b.     keeping and maintaining complete and easily retrievable     Fully Compliant     3.00     Verify actual contract management for courds and time it took to retrieve records and time it took to retrieve records	9.a		0.00%	0.00		PMRs
9.C       action to procure consulting services       n/a       n/a       PMRs         Indicator 10. Capacity Building for Government Personnel and Private Sector Participants       Samples of forms used to evaluating procurement performance on top of or incorporated within the producting entity to evaluate the performance of procurement personnel on a regular basis       Fully Compliant       3.00       Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel Ask for copies of Office Orders, training modules, list of participants, schedules or actual training conducted         10.b       Percentage of participation of procurement staff in procurement training and/or professionalization program       0.00%       0.00       Ask for copies of documentation of activities for bidders         10.c       The procuring entity has open dialogue with private sector       Compliant       3.00       Ask for copies of documentation of activities for bidders         10.c       The procuring entity       The procuring entity       Compliant       3.00       Ask for copies of documentation of activities for bidders         11.a       The BAC Secretariat has a system for keeping and maintaining procurement records       Fully       3.00       Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.         11.a       The BAC Secretariat has a system for keeping and maintenance       Fully       3.00       Refer to Section 4.1 of User's Manual for	9.b	action to procure infrastructure projects	0.00%	0.00		PMRs
10.a     There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis     Fully     3.00     Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel       10.a     Percentage of participation of procurement staff in procurement training and/or professionalization program     0.00%     0.00     Ask for copies of Office Orders, training modules, list of participants, schedules o actual training conducted       10.c     The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity     0.00%     0.00     Ask for copies of documentation of activities for bidders       11.a     The BAC Secretariat has a system for keeping and maintaining procurement records     Fully Compliant     3.00     Yerify actual procurement records and time it took to retrieve records (should to no more than two hours)       11.a     Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable     Fully Compliant     3.00	9.c	· · · · · · · · · · · · · · · · · · ·	n/a	n/a		PMRs
10.a     There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis     Fully     3.00     Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel       10.a     Percentage of participation of procurement staff in procurement training and/or professionalization program     0.00%     0.00     Ask for copies of Office Orders, training modules, list of participants, schedules o actual training conducted       10.c     The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity     0.00%     0.00     Ask for copies of documentation of activities for bidders       11.a     The BAC Secretariat has a system for keeping and maintaining procurement records     Fully Compliant     3.00     Yerify actual procurement records and time it took to retrieve records (should to no more than two hours)       11.a     Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable     Fully Compliant     3.00	Indic	ator 10. Capacity Building for Government Personnel and Priv	ate Sector Parti	cipants		
10.b       Percentage of participation of procurement staff in procurement training and/or professionalization program       0.00%       0.00       Ask for copies of Office Orders, training modules, list of participants, schedules or actual training conducted         10.c       The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity       Compliant       3.00       Ask for copies of documentation of activities for bidders         Indicator 11. Management of Procurement and Contract Management Records       Indicator 11. Management of Procurement records and time it took to retrieve records (should to no more than two hours)         11.a       The BAC Secretariat has a system for keeping and maintaining procurement records       Fully Compliant       3.00       Verify actual procurement-related documents for record to the procurement records and time it took to retrieve records (should to no more than two hours)         Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.       Yerify actual contract management records         11.b       Implementing Units has and is implementing a system for the epsing and maintenance.       Fully Compliant       3.00	10.a	There is a system within the procuring entity to evaluate the	Fully			procurement performance on top of or incorporated within the regular
10.c       The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity       3.00       Ask for copies of documentation of activities for bidders         10.c       Indicator 11. Management of Procurement and Contract Management Records       Indicator 11. Management of Procurement and Contract Management Records         11.a       The BAC Secretariat has a system for keeping and maintaining procurement records       Fully       3.00       Verify actual procurement records (should to no more than two hours))       Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintaining complete and easily retrievable       Fully       3.00       Verify actual contract management records and time it took to retrieve	10.b		0.00%	0.00		modules, list of participants, schedules of
11.a       The BAC Secretariat has a system for keeping and maintaining procurement records       Fully       3.00       Verify actual procurement records and time it took to retrieve records (should to no more than two hours)         11.a       The BAC Secretariat has a system for keeping and maintaining procurement records       Fully       3.00       Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.         11.b       Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable       Fully       3.00       Verify actual contract management records and time it took to retrieve	10.c	and ensures access to the procurement opportunities of the	Compliant	3.00		Ask for copies of documentation of
11.a       The BAC Secretariat has a system for keeping and maintaining procurement records       Fully       3.00       Verify actual procurement records and time it took to retrieve records (should to no more than two hours)         11.a       The BAC Secretariat has a system for keeping and maintaining procurement records       Fully       3.00       Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.         11.b       Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable       Fully       3.00       Verify actual contract management records and time it took to retrieve		14 Marca 16 Marca 18				
11.aThe BAC Secretariat has a system for keeping and maintaining procurement recordsFully Compliant3.00time it took to retrieve records (should to no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintaining complete and easily retrievableFully Compliant3.00time it took to retrieve records (should to no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.11.bImplementing Units has and is implementing a system for keeping and maintaining complete and easily retrievableFully Compliant3.00Verify actual contract management records and time it took to retrieve	Indic	ator 11. Management of Procurement and Contract Managen	nent Records	1		1
11.b keeping and maintaining complete and easily retrievable Compliant 3.00 records and time it took to retrieve	11.a		and a second sec	3.00		time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for
	11.b	keeping and maintaining complete and easily retrievable	and the second second	3.00		Contraction of the second s
Indicator 12. Contract Management Procedures						

POLOMOLOK WATER DISTRICT

Date of Self Assessment: 27 January 2023

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts

POLOMOLOK WATER DISTRICT

Date of Self Assessment: 27 January 2023

ENGR. CECIL D. MIRASOL BAC - Chairperson

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
		Average III	1.83	indicators and subindicators	Inor to be included in the Evaluation
PILL	AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCURE				
Indi	cator 13. Observer Participation in Public Bidding				
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
India	ator 14. Internal and External Audit of Procurement Activitie				
indic	ator 14. Internal and External Audit of Procurement Activitie	s			
14.a	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Fully Compliant	3.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Between 61- 70.99% compliance	1.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indic	ator 15. Capacity to Handle Procurement Related Complaints				
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
indic	ator 16. Anti-Corruption Programs Related to Procurement				
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	2.60		
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV /	4)	2.09		

#### Summary of APCPI Scores by Pillar

	APCPI Pillars	Ideal Rating	Agency Rating
I	Legislative and Regulatory Framework	3.00	1.55
	Agency Insitutional Framework and Management Capacity	3.00	2.40
	Procurement Operations and Market Practices	3.00	1.83
8	Integrity and Transparency of Agency Procurement Systems	3.00	2.60
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	2.09



#### Annex D

#### PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE

#### POLOMOLOK WATER DISTRICT

CY 2021

Sub-Indicators	Key Area for Development	Proposed Actions to Address Key Areas	Responsible Entity	Timetable	Resources Needed
l.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Increase the number of competitive bidding.	BAC	within the year	
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Increase the number of competitive bidding.	BAC	within the year	
2.a	Percentage of shopping contracts in terms of amount of total procurement	Invite more suppliers to submit a quotation to encourage competitive bidding.	Procurement	within the year	
2.b	Percentage of negotiated contracts in terms of amount of total procurement	Invite more suppliers to submit a quotation to encourage competitive bidding.	Procurement	within the year	
2.c	Percentage of direct contracting in terms of amount of total procurement				
2.d	Percentage of repeat order contracts in terms of amount of total procurement				
2.e	Compliance with Repeat Order procedures				
2.f	Compliance with Limited Source Bidding procedures				
3.a	Average number of entities who acquired bidding documents	Invite more bidders to participate the bidding and maintain list of suppliers.	BAC & Procurement	within the year	
3.b	Average number of bidders who submitted bids	Invite more bidders to participate the bidding and maintain list of suppliers.	BAC & Procurement	within the year	
3.c	Average number of bidders who passed eligibility stage	Encourage 100% atendance from the participating bidders to attend the Pre - bid Conference.	BAC	everytime there is Public Bidding	
3.d	Sufficiency of period to prepare bids				
3.e	Use of proper and effective procurement documentation and technical specifications/requirements			Y	
4.a	Creation of Bids and Awards Committee(s)				
4.b	Presence of a BAC Secretariat or Procurement Unit				

5.a	An approved APP that includes all types of procurement				
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common Use Supplies and Equipment from the Procurement Service				
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted				
6.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency				
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency				
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency				
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost				
7.b	Preparation of Procurement Monitoring Reports using the GPPB- prescribed format, submission to the GPPB, and posting in agency website				
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs				
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding				
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe				
9.a	Percentage of contracts awarded within prescribed period of action to procure goods				
9.b	Percentage of contracts awarded within prescribed period of action to procure infrastructure projects				
9.c	Percentage of contracts awarded within prescribed period of action to procure consulting services				
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis				
10.b	training and/or professionalization program	Encourage 100% attendance of all Procurement staff to all Procurement trainings	PolWD, DBM, GPPB	within the year	budget, internet connection and other materials needed for the training
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity				

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11.a	The BAC Secretariat has a system for keeping and maintaining procurement records				
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records				
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance				
12.b	Timely Payment of Procurement Contracts				
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR				
14.a	Creation and operation of internal Audit Unit (IAU) that performs specialized procurement audits				
14.b	Audit Reports on procurement related transactions	COA, Internal and External Audit had been conducted.	COA, Internal and External Auditors	within the year	
15.a	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements				
16.a		Strict implementation of "No Gift Policy" and adherence to RA 9184 guidelines on the conduct of any mode of procurement.	HOPE, BAC & Procurement	within the year	